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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

 ATTY. DOCKET NO.  
 1383.0130002/EKS/AJK

 APPLICATION NO.  
 09/842,256

 APPLICANT  
 Chambon *et al.*

 FILING DATE  
 April 26, 2001

 GROUP  
 1646

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
MOP	AA1	5,508,164	04/16/1996	Kausch <i>et al.</i>	435	8	10/29/1993
MOP	AB1	6,268,173 B1	07/31/2001	Chambon <i>et al.</i>	435	69.1	07/11/1997
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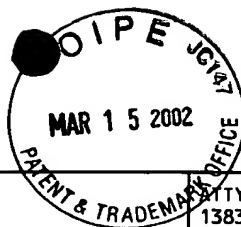
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MOP	AL1	WO 96/12823	05/02/1996	WIPO			Yes No
MOP	AM1	WO 98/02455	01/22/1998	WIPO			Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

MOP	AR	1	Berry, M., <i>et al.</i> , "Role of the two activating domains of the oestrogen receptor in the cell-type and promoter-context dependent agonistic activity of the anti-oestrogen 4-hydroxytamoxifen," <i>EMBO J.</i> 9:2811-2818, Oxford University Press (1990).
MOP	AS	1	Bocquel, M.T., <i>et al.</i> , "The contribution of the N- and C-terminal regions of steroid receptors to activation of transcription is both receptor and cell-specific," <i>Nucl. Acids Res.</i> 17:2581-2595, Oxford University Press (1989).
MOP	AT	1	Bourguet, W., <i>et al.</i> , "Crystal structure of the ligand-binding domain of the human nuclear receptor RXR- $\alpha$ ," <i>Nature</i> 375:377-382, Nature Publishing Group (June 1995).

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MOP	AR	2	Cavaillès, V., <i>et al.</i> , "Interaction of proteins with transcriptionally active estrogen receptors," <i>Proc. Natl. Acad. Sci. USA</i> 91:10009-10013, National Academy of Sciences (1994).
MOP	AS	2	Cavaillès, V., <i>et al.</i> , "Nuclear factor RIP140 modulates transcriptional activation by the estrogen receptor," <i>EMBO J.</i> 14:3741-3751, Oxford University Press (August 1995).
MOP	AT	2	Danielian, P.S., <i>et al.</i> , "Identification of a conserved region required for hormone dependent transcriptional activation by steroid hormone receptors," <i>EMBO J.</i> 11:1025-1033, Oxford University Press (1992).

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MDP	AR	3	Durand, B., <i>et al.</i> , "Activation function 2 (AF-2) of retinoic acid receptor and 9-cis retinoic acid receptor: presence of a conserved autonomous constitutive activating domain and influence of the nature of the response element on AF-2 activity," <i>EMBO J.</i> 13:5370-5382, Oxford University Press (1994).
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MDP	AT	3	Glass, C.K., <i>et al.</i> , "Nuclear receptor coactivators," <i>Curr. Opin. Cell Biol.</i> 9:222-232, Current Biology Ltd. (April 1997).

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MDP	AR	4	Halachmi, S., <i>et al.</i> , "Estrogen Receptor-Associated Proteins: Possible Mediators of Hormone-Induced Transcription," <i>Science</i> 264:1455-1458, American Association for the Advancement of Science (1994).
MDP	AS	4	Hanstein, B., <i>et al.</i> , "p300 is a component of an estrogen receptor coactivator complex," <i>Proc. Natl. Acad. Sci. USA</i> 93:11540-11545, National Academy of Sciences (October 1996).
MDP	AT	4	Heery, D.M., <i>et al.</i> , "A signature motif in transcriptional co-activators mediates binding to nuclear receptors," <i>Nature</i> 387:733-736, Nature Publishing Group (June 1997).

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MDP	AR	5	Hong, H., <i>et al.</i> , "GRIP1, a novel mouse protein that serves as a transcriptional coactivator in yeast for the hormone binding domains of steroid receptors," <i>Proc. Natl. Acad. Sci. USA</i> 93:4948-4952, National Academy of Sciences (May 1996).
MDP	AS	5	Hong, H., <i>et al.</i> , "GRIP1, a Transcriptional Coactivator for the AF-2 Transactivation Domain of Steroid, Thyroid, Retinoid, and Vitamin D Receptors," <i>Mol. Cell. Biol.</i> 17:2735-2744, American Society for Microbiology (May 1997).
MDP	AT	5	Jacq, X., <i>et al.</i> , "Human TAF <sub>II</sub> 30 Is Present in a Distinct TFIID Complex and Is Required for Transcriptional Activation by the Estrogen Receptor," <i>Cell</i> 79:107-117, Cell Press (1994).

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MDP	AR	6	Kamei, Y., <i>et al.</i> , "CBP Integrator Complex Mediates Transcriptional Activation and AP-1 Inhibition by Nuclear Receptors," <i>Cell</i> 85:403-414, Cell Press (May 1996).
MDP	AS	6	Kastner, P., <i>et al.</i> , "Structure, localization and transcriptional properties of two classes of retinoic acid receptor $\alpha$ fusion proteins in acute promyelocytic leukemia (APL): structural similarities with a new family of oncoproteins," <i>EMBO J.</i> 11:629-642, Oxford University Press (1992).
MDP	AT	6	Kurokawa, R., <i>et al.</i> , "Polarity-specific activities of retinoic acid receptors determined by a co-repressor," <i>Nature</i> 377:451-454, Nature Publishing Group (October 1995).

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MDP	AR	Z	Le Douarin, B., <i>et al.</i> , "The N-terminal part of TIF1, a putative mediator of the ligand-dependent activation function (AF-2) of nuclear receptors, is fused to B-raf in the oncogenic protein T18," <i>EMBO J.</i> 14:2020-2033, Oxford University Press (May 1995).
MDP	AS	Z	Le Douarin, B., <i>et al.</i> , "A possible involvement of TIF1 $\alpha$ and TIF1 $\beta$ in the epigenetic control of transcription by nuclear receptors," <i>EMBO J.</i> 15:6701-6715, Oxford University Press (December 1996).
MDP	AT	Z	Lee, J.W., <i>et al.</i> , "Two Classes of Proteins Dependent on Either the Presence or Absence of Thyroid Hormone for Interaction with the Thyroid Hormone Receptor," <i>Mol. Endocrinol.</i> 9:243-254, The Endocrine Society (February 1995).

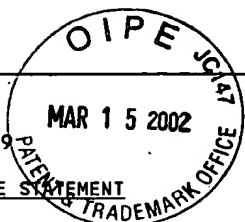
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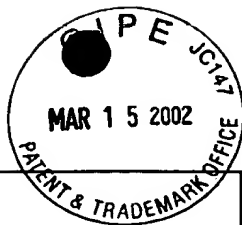
MDP	AR	8	Lee, J.W., <i>et al.</i> , "Interaction of thyroid-hormone receptor with a conserved transcriptional mediator," <i>Nature</i> 374:91-94, Nature Publishing Group (March 1995).
MDP	AS	8	Mengus, G., <i>et al.</i> , "Cloning and characterization of hTAF <sub>II</sub> 18, hTAF <sub>II</sub> 20 and hTAF <sub>II</sub> 28: three subunits of the human transcription factor TFIID," <i>EMBO J.</i> 14:1520-1531 (April 1995).
MDP	AT	8	Metzger, D., <i>et al.</i> , "Promoter specificity of the two transcriptional activation functions of the human oestrogen receptor in yeast," <i>Nucl. Acids Res.</i> 20:2813-2817, Oxford University Press (1992).

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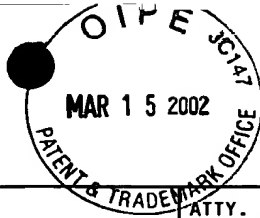
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MDP	AR	2	Meyer, M.-E., <i>et al.</i> , "Steroid Hormone Receptors Compete for Factors That Mediate Their Enhancer Function," <i>Cell</i> 57:433-442, Cell Press (1989).
MDP	AS	2	Meyer, M.-E., <i>et al.</i> , "Agonistic and antagonistic activities of RU486 on the functions of the human progesterone receptor," <i>EMBO J.</i> 9:3923-3932, Oxford University Press (1990).
MDP	AT	2	Onate, S.A., <i>et al.</i> , "Sequence and Characterization of a Coactivator for the Steroid Hormone Receptor Superfamily," <i>Science</i> 270:1354-1357, American Association for the Advancement of Science (November 1995).

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

MDP	AR	10	Pierrat, B., <i>et al.</i> , "Functional analysis of the human estrogen receptor using a phenotypic transactivation assay in yeast," <i>Gene</i> 119:237-245, Elsevier Science B.V. (1992).
MDP	AS	10	Pierrat, B., <i>et al.</i> , "A highly conserved region in the hormone-binding domain of the human estrogen receptor functions as an efficient transactivation domain in yeast," <i>Gene</i> 143:193-200, Elsevier Science B.V. (1994).
MDP	AT	10	Renaud, J.-P., <i>et al.</i> , "Crystal structure of the RAR- $\gamma$ ligand-binding domain bound to all-trans retinoic acid," <i>Nature</i> 378:681-689, Nature Publishing Group (December 1995).

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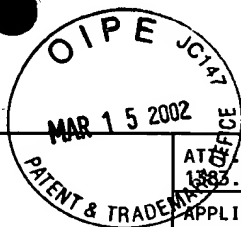
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MDP	AR	11	Tasset, D., <i>et al.</i> , "Distinct Classes of Transcriptional Activating Domains Function by Different Mechanisms," <i>Cell</i> 62:1177-1187, Cell Press (1990).
MDP	AS	11	Torchia, J., <i>et al.</i> , "The transcriptional co-activator p/CIP binds CBP and mediates nuclear-receptor function," <i>Nature</i> 387:677-684, Nature Publishing Group (June 1997).
MDP	AT	11	Voegel, J.J., <i>et al.</i> , "TIF2, a 160 kDa transcriptional mediator for the ligand-dependent activation function AF-2 of nuclear receptors," <i>EMBO J.</i> 15:3667-3675, Oxford University Press (July 1996).

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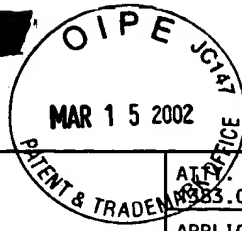
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MDP	AR	12	vom Baur, E., <i>et al.</i> , "Differential ligand-dependent interactions between the AF-2 activating domain of nuclear receptors and the putative transcriptional intermediary factors mSUG1 and TIF1," <i>EMBO J.</i> 15:110-124, Oxford University Press (January 1996).
MDP	AS	12	Wagner, R.L., <i>et al.</i> , "A structural role for hormone in the thyroid hormone receptor," <i>Nature</i> 378:690-697, Nature Publishing Group (December 1995).
MDP	AT	12	Wurtz, J.-M., <i>et al.</i> , "A canonical structure for the ligand-binding domain of nuclear receptors," <i>Nature Struct. Biol.</i> 3:87-94, Nature Publishing Company (January 1996).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

MDP	AR	13	Yao, T.-P., <i>et al.</i> , "The nuclear hormone receptor coactivator SRC-1 is a specific target of p300," <i>Proc. Natl. Acad. Sci. USA</i> 93:10626-10631, National Academy of Sciences (October 1996).
MDP	AS	13	Zhu, Y., <i>et al.</i> , "Cloning and Identification of Mouse Steroid Receptor Coactivator-1 (mSRC-1), as a Coactivator of Peroxisome Proliferator-Activated Receptor $\gamma$ ," <i>Gene Expression</i> 6:185-195, Chicago Medical School Press (1996).
MDP TAM MPEP	AT	13	International Search Report for International Application No. PCT/US97/12100, mailed November 25, 1997.

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